Ciclo de *RIESGO*

SUMMIT 2020

La nueva inteligencia del Crédito y la Cobranza en la era post digital, en medio de entornos beligerantes y ecosistemas en riesgo

CICLO DE RIESGO 10 Aniversario AÑOS
La revista para expertos del mundo del Crédito y la Cobranza

JOURNEY TO COMPLIANCE:
¿Cómo enfrentar la implementación de una normativa?
Procesos + Tecnología para facilitar la mirada al cumplimiento

Sebastián Zamorano
Modern Workplace Consultant
Microsoft
sebastian.zamorano@Microsoft.com

Microsoft

Microsoft

Hola!

Rodrigo Rocha Ajuria

Business Group Lead | Modern Workplace Cloud

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Microsoft

Oportunidad y
Responsabilidad

Transformación Digital

Explosión de Data

Es creada, almacenada y compartida en todos lados

Microsoft

Detección de Anomalias
Notificación de infracción
Auditoria
eDiscovery
Prevención de Fraude
GDPR
Gestión del Cumplimiento
Data loss prevention
Information protection
El panorama es fragmentado y confuso
Gestión de Accesos
Gobernanza de la Información
Gestión de Registros / Grabaciones
Privacidad
Archiving
Clasificación de Data
Supervisión
Encripción
Microsoft

It's all about Trust

"Las empresas y los usuarios van a adoptar la tecnología solo si pueden confiar en ella"

Satya Nadella

JOURNEY TO COMPLIANCE:

¿CÓMO ENFRENTAR LA IMPLEMENTACIÓN DE UNA NORMATIVA?
PROCESOS + TECNOLOGÍA PARA FACILITAR LA MIRADA AL CUMPLIMIENTO

SEBASTIÁN ZAMORANO A.

MODERN WORKPLACE CONSULTANT

Normativa

Conjunto de normas aplicables a una determinada materia o actividad.

- Principales desafíos que nos encontramos:
  - El equipo de trabajo
  - Seguimiento de las actividades
  - Conocimiento
  - Esfuerzo ya realizado
  - “Pesca de arrastre”
  - La seguridad en colaboración es un Proyecto de la Organización
  - Tiempo

Visión de Cómo deberíamos abordar los proyectos de Información

Lograr el cumplimiento organizacional es un reto

215+

updates per day from 900 regulatory bodies[1]

"Difícil mantenerse al día para realizar un seguimiento y analizar los cambios regulatorios."

65%

of firms ranked “design and implementation of internal processes” the biggest GDPR hurdle[2]

“Falta de capacidades y conexiones internas entre el equipo de cumplimiento y los equipos de TI"

40%

of firms spent more than 4 hours a week creating and amending reports[1]

"El proceso de cumplimiento es muy manual, desarticulado y requiere mucho tiempo".

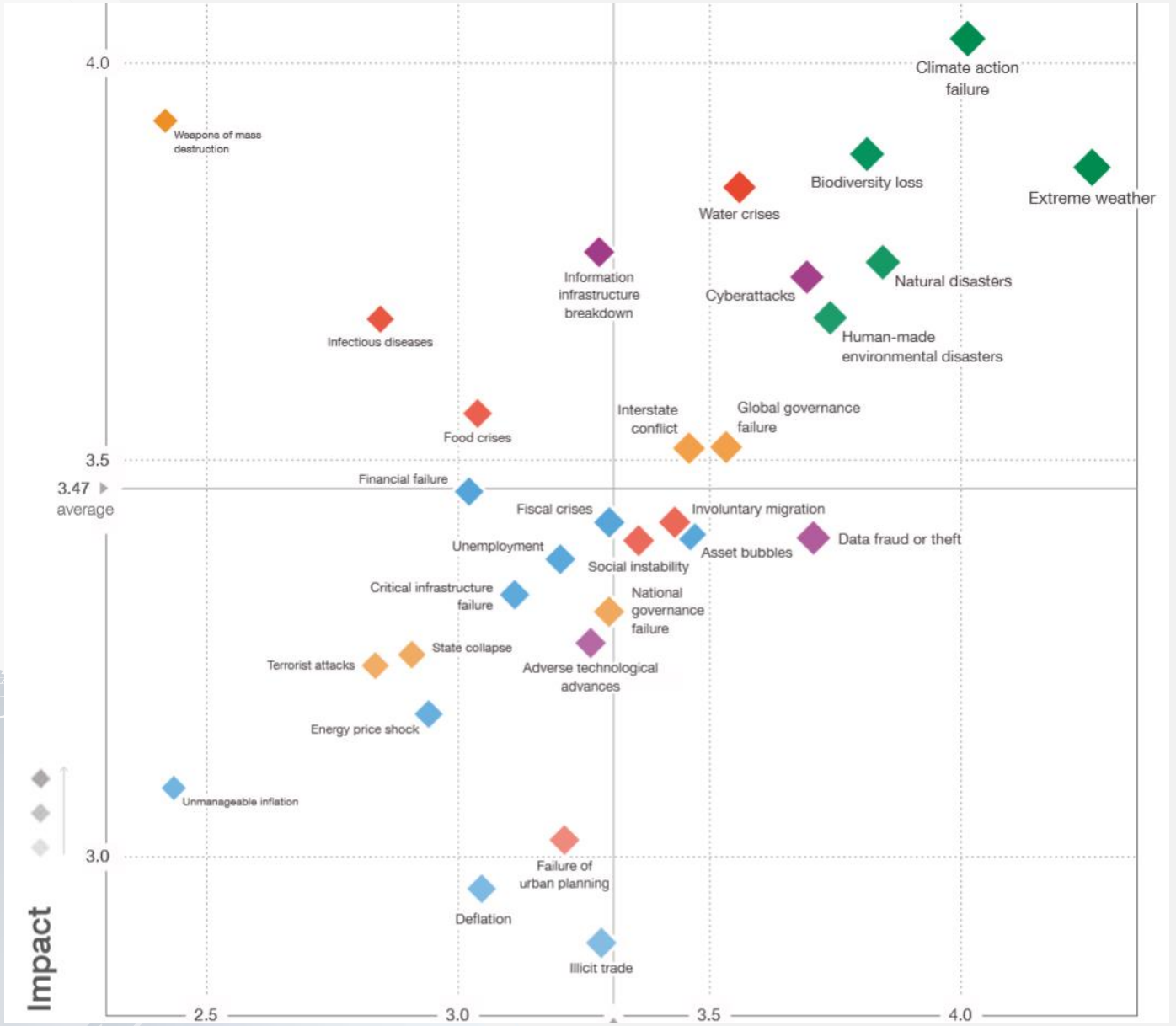
Sources: 1 Thomson Reuters – Cost of Compliance 2018
2 http://resources.compuware.com/research-improved-gdpr-readiness-businesses-still-at-risk-of-non-compliance

Microsoft

WORLD ECONOMIC FORUM

The Global Risks Landscape 2020

¿Dónde está ubicada la tecnología?



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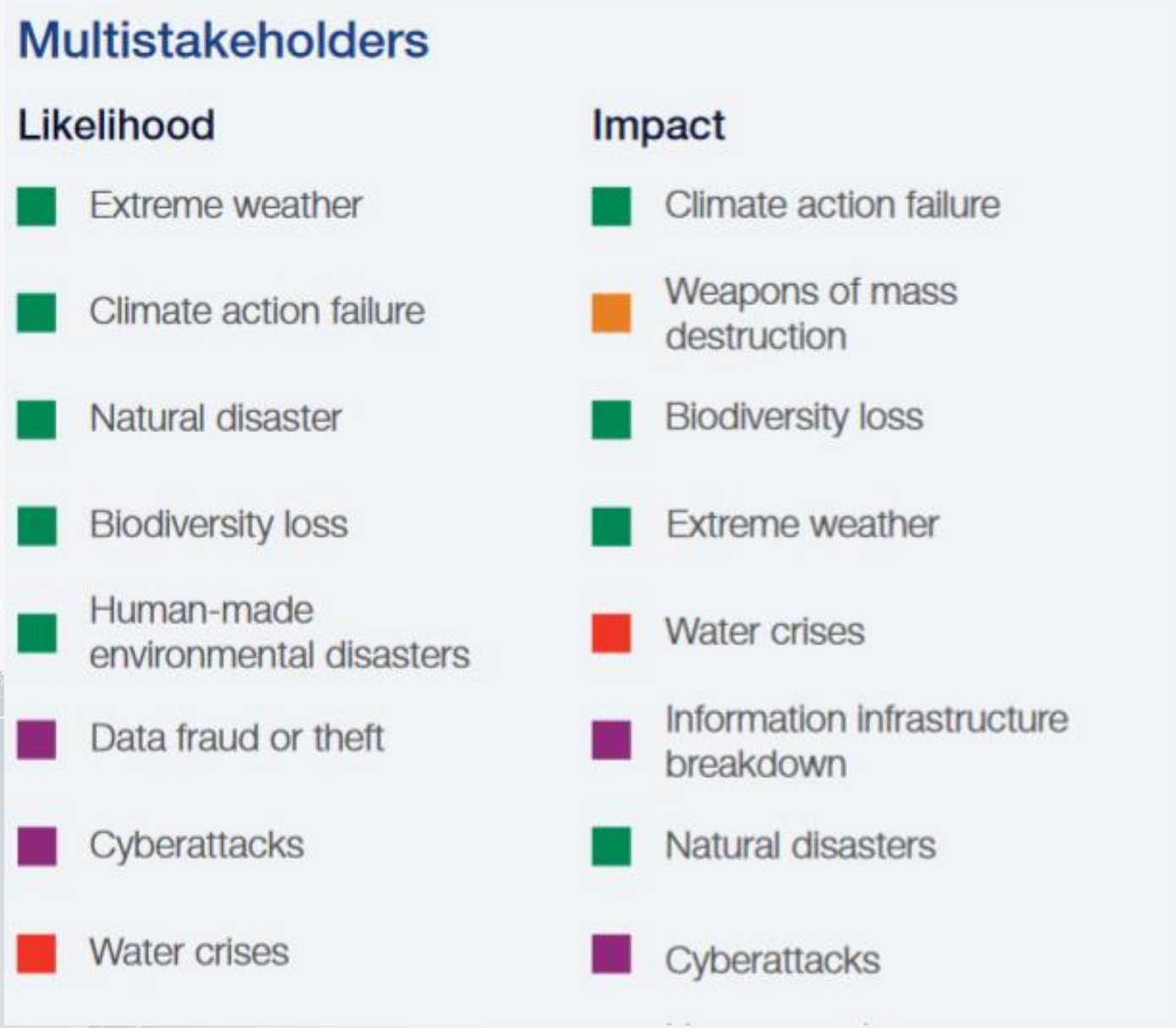
WORLD ECONOMIC FORUM

Long-Term Risk Outlook

Probabilidad e impacto



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Compliance Manager

REGULATIONS AND STANDARDS
Cloud Services

NIST National Institute of Standards and Technology U.S. Department of Commerce
800-171

NIST National Institute of Standards and Technology U.S. Department of Commerce
800-53

NIST National Institute of Standards and Technology U.S. Department of Commerce
Cybersecurity Framework

CSA CCM Cloud Controls Matrix

AICPA SOC aicpa.org/soc — AICPA Service Organization Control Reports — Formerly SAS 70 Reports

AICPA SOC 2 — AICPA Service Organization Control Reports — Formerly SAS 70 Reports

GDPR

CCPA

HIPAA Health Insurance Portability & Accountability Act

Information Security Management System ISO 27001

Information Security Management System ISO 27018

ISO 27701

FFIEC

irap

LGPD Lei Geral de Proteção de Dados Pessoais

FedRAMP Federal Risk Authorization Management Program

Coverage of regulations and standards in Compliance Manager varies by product.

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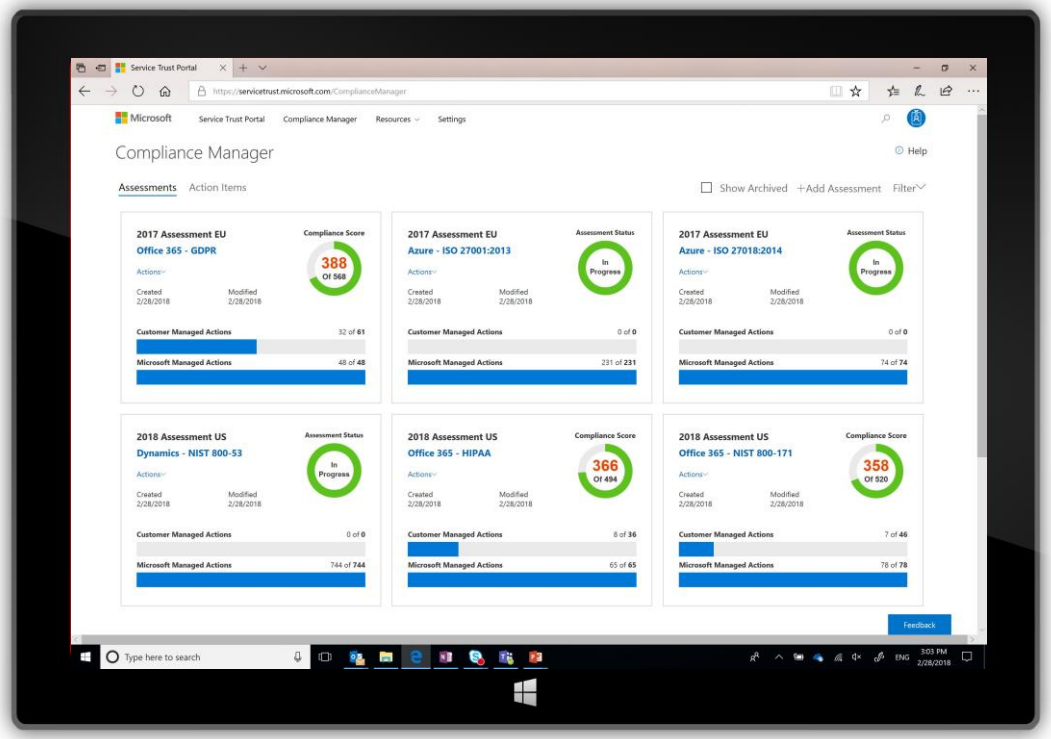
Compliance Manager

Connecting data protection solutions with regulatory requirements



Office Security & Compliance Center

Azure Security Center

Windows Defender Security Center

Other documents and guides

Microsoft

Manage your compliance from one place

¿Qué puedo llegar a hacer?

Ongoing risk assessment
An intelligent score reflects your compliance posture against regulations and standards

Actionable insights
Recommended actions to improve your data protection capabilities

Simplified compliance
Streamlined workflow across teams and richly detailed reports for auditing preparation

Gain rich insights
Understand Microsoft's and your responsibilities to meet compliance obligations.

Assess Microsoft-managed controls
Get implementation details, test plan details, and test results of Microsoft-managed controls.

Get recommended actions
Receive clear guidance on actions you can take to improve your data protection capabilities.

Score your compliance
Get a risk-based score that reflects your data protection and compliance posture.

Prioritize your tasks
Make better plan to implement and test internal controls that decrease your compliance risks.

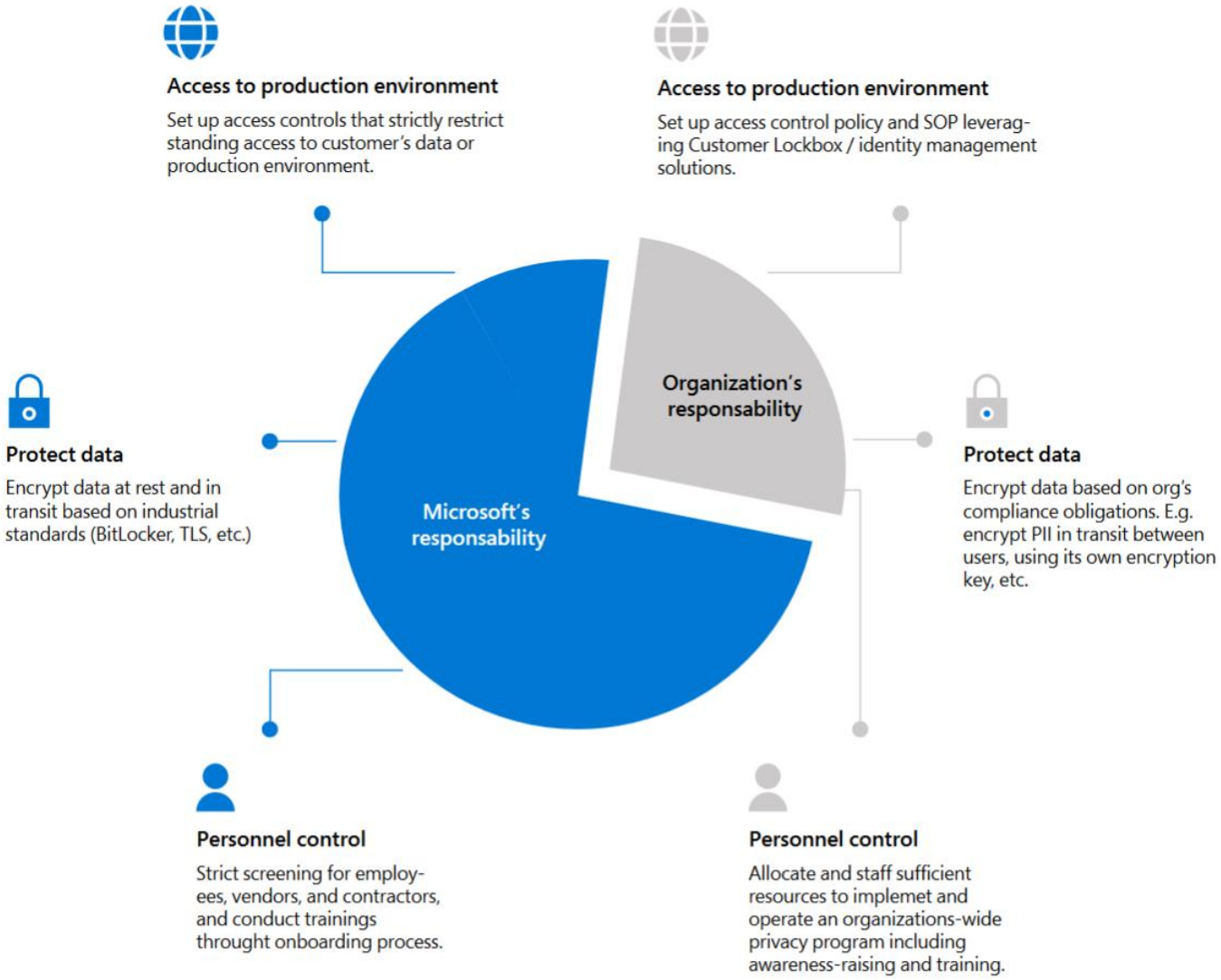
Customize the dashboard
Customize based on your organizational needs, grouping assessments by years or regions.

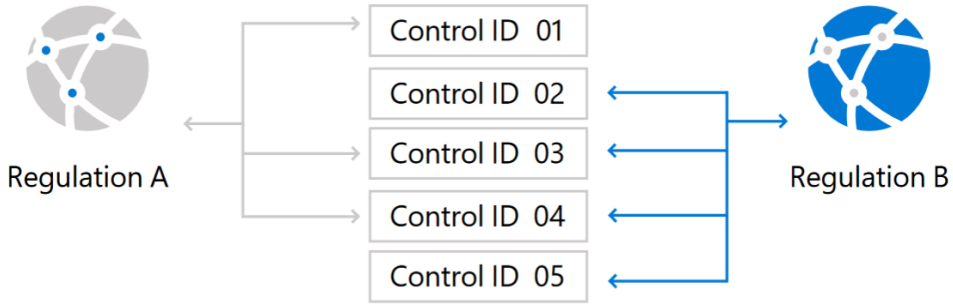
Facilitate collaboration
Assign, track, and record compliance activities for more efficient collaboration across teams.

Collect evidence
Upload evidence to a secured cloud storage to prepare for internal pre-audit.

Simplify audit reporting
Reduce efforts in managing your audits by generating reports with detailed information and evidence.

Examples of Shared Responsibilities and Related Controls





Microsoft has 1,900+ controls that match with different regulatory requirements

Figure 2: Broad set of Microsoft controls for compliance requirements

Controls / Articles

Control ID: 6.5.2
Title: User access management
Article ID: Article (5)(1)(f)
Description: Article (5)(1)(f): Personal data shall be: (f) processed in a manner that ensures appropriate security of the personal data, including protection against unauthorized or unlawful processing and against accidental loss, destruction or damage, using appropriate technical or organizational measures ("integrity and confidentiality").

Compliance Score	Related Controls / Articles
10	**NIST 800-53:** AC-2 **ISO 27018:2014:** C.9.2

Microsoft

Próximos pasos

Puntos a considerar

- Los proyectos deben ser abordados por toda la organización
- Procesos, Procedimientos, Roles, Responsabilidades y Permisos
- Identificar stakeholders y roadblockers
- Acceder al Portal para visualizar las normativas y estándares que pueden fortalecer su negocio

THANKYOU

Sebastián Zamorano

+56 9 5207 3058

sebastian.zamorano@microsoft.com

www.microsoft.com

myprofile.kazblog.me

Recursos adicionales

- 2 mins to learn what is Compliance Manager: https://aka.ms/cm_2min
- Compliance Manager toolkit: http://aka.ms/CMtoolkit
- Compliance Manager product deep dive video: https://aka.ms/cm_product_deep_dive
- Compliance Manager supporting documents: https://aka.ms/cm_support_page
- Service Trust Portal Privacy resources mechanics video: https://aka.ms/stp_privacy_mechanics
- New announcements of Microsoft 365 Compliance at Ignite: https://aka.ms/m365compliance_ignite2018
- Compliance Manager new announcement at Ignite: https://aka.ms/ignite2018CM
- Compliance Manager interactive learning guide: https://aka.ms/CM_InteractiveGuide
- Risk & Compliance Q&A tool
- Insider risk management in Microsoft 365: https://docs.microsoft.com/en-us/microsoft-365/compliance/insider-risk-management?view=o365-worldwide

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